# HOW TO USE

# The Hazardous Materials Regulations CFR 49 Parts 100 To 185



#### INFORMATION/RECOMMENDATIONS

#### Information

The USDOT issues most of the "Transportation" regulations in Title 49 – Transportation, Code of Federal Regulations (49 CFR). The Hazardous Materials Regulations (HMR) are in the volume containing Parts 100-185 and govern the transportation of hazardous materials in all modes of transportation – air, highway, rail and water.

The Code of Federal Regulations (CFR) has the force of the law. The regulations are issued by Federal agencies to carry out the responsibilities imposed on those agencies by Congress.

#### **Objectives**

Upon completion of this workbook you should be able to:

- locate parts, subparts, sections, and references within the HMR;
- locate and tab reference sections of the HMR; and
- use the HMR to locate specific information.

#### **Materials Checklist**

To complete this workbook, you need:

- a current copy of the HMR in 49 CFR Parts 100 to 185;
- paper and pen or pencil for notes and exercises;
- approximately 40 gummed tabs; and
- a straight edge marker or ruler.

#### Recommendations

The HMR **must** be used to determine the requirements for shipping hazardous materials and should be treated like a technical or reference book. Read it carefully and always read other sections referenced. When determining compliance **always** use the current HMR and any Federal Register Notices issued since the publish date. It is recommended that you tab your copy of 49 CFR Parts 100 to 185. A recommended tabbing guide is on pages 6-7 of this publication.

#### STRUCTURE OF CODE OF FEDERAL REGULATIONS

#### **Basic Outline**

The Code of Federal Regulations follows the same basic outline used for most written material. Headings follow the descending order shown below:

**Title:** Title 49 – Transportation

**SUBTITLE:** SUBTITLE B – OTHER REGULATIONS RELATING TO

TRANSPORTATION:

**CHAPTER:** CHAPTER I – Pipeline and Hazardous Matrerials Safety

Administration, Department of Transportation

**SUBCHAPTER:** SUBCHAPTER C – HAZARDOUS MATERIALS

**REGULATIONS** 

**Part:** Part 172 – Hazardous materials tables and hazardous materials

communications regulations, emergency response information, and

training requirements

**Subpart\*** Subpart D – Marking

 Section\*
 172.301

 Paragraph\*
 172.301(a)

 Subparagraph\*
 172.301(a)(1)

 Sub-subparagraph\*
 172.301(a)(1)(i)

Turn to page 1 in 49 CFR Parts 100-185. Notice the CFR title at the top of the page:

#### Title 49 — Transportation

The Parts contained in this volume are noted in parentheses:

(This book contains parts 100 to 185)

The SUBTITLE and CHAPTER are also listed:

Part

SUBTITLE B – OTHER REGULATIONS RELATING TO TRANSPORTATION:

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Now locate the CHAPTER I index:

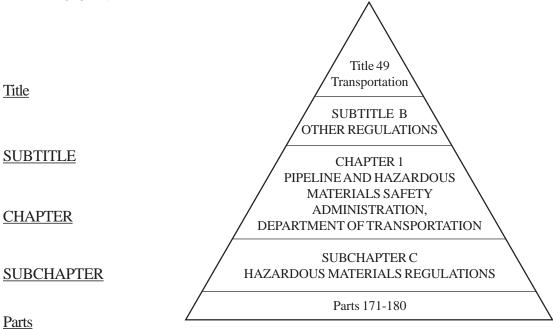
# CHAPTER I – PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION DEPARTMENT OF TRANSPORTATION

Notice that SUBCHAPTER C contains the Hazardous Materials Regulations (HMR).

<sup>\*</sup> Listed under Parts, as needed, in descending order.

#### Pyramid Diagram

The pyramid diagram below illustrates the HMR format just discussed and the location of the HMR in 49 CFR.



#### Rules of Construction, § 171.9

Unless specifically stated otherwise:

- singular words include the plural;
- plural words include the singular;
- masculine words include the feminine;
- "must" means required;
- "shall" means required;
- "should" means recommended, but not required;
- "may" means **permitted**, **but not required**;
- "includes" means includes, but not limited to; and
- "no person may" means no person is required, authorized, or permitted to...

#### **EXERCISE 1: PARTS**

In 49 CFR, Parts 100-185, locate the CHAPTER I index and read the headings under SUBCHAPTERS A, B and C.

Fill in the blanks designating the Part where each subject is addressed in the HMR.

Part (Number)	Heading
	Carriage by aircraft
	Carriage by rail
	Carriage by vessel
	Carriage by public highway
	General information, regulations and definitions
	Hazardous materials tables, special provisions, hazardous materials communications, emergency response information, and training requirements
	Shippers – General requirements for shipments and packagings
	Specifications for packagings
	Specifications for tank cars
	Continuing qualification and maintenance of packagings

#### **EXERCISE 2: LOCATING REFERENCE NUMBERS**

**CFR Reference Numbers. Bold** numbers referring to sections are at the top outside corners of each page of the HMR. These reference numbers have the same location and purpose as dictionary locator words at the top of each page in a dictionary. That is, the number on the top left is the CFR reference number that begins that page. The number on the top right of the facing page is the reference number for the last CFR reference number on that page.

It is important to use these reference numbers rather than page numbers to locate HMR information. The page numbers in 49 CFR are always changing because of revisions, additions, deletions, and the annual reprinting of the CFR.

#### Turn to Subchapter C, the beginning of the HMR.

Subchapter C – Hazardous Materials Regulations Part 171 – GENERAL INFORMATION, REGULATIONS, AND DEFINITIONS.

Use the reference numbers at the top of the HMR. Notice that Part 171 begins with a table of contents. The table of contents lists headings within Part 171 by section numbers, not page numbers.

Scan the sections of Part 171. Notice the Part and Section numbers in **bold** at the top of each page; these numbers refer to the section that begins or ends the page.

#### § 171.1

#### 49 CFR Ch. 1 (10-01 Edition)

#### Subchapter C - Hazardous Materials Regulations

#### Part 171 – GENERAL INFORMATION, REGULATIONS, AND DEFINITIONS

Sec.	
171.1	Purpose and scope.
171.2	General requirements.
171.3	Hazardous Waste.
171.4	Marine pollutants.
171.6	Control numbers under the
	Paperwork Reduction Act.
171.7	Reference Material.
171.8	Definitions and Abbreviations.
171.9	Rules of construction.
171.10	Units of measure.
171.11	Use of ICAO Technical
	Instructions.
171.12	Import and export shipments.
171.12a	Canadian shipments

and packagings.

- (4) The use of terms and symbols prescribed in this subchapter for the marking, labeling, placarding and description of hazardous materials and packagings used in their transport.
- (b) Any person who, under contract with any department, agency, or instrumentality of the executive, legislative, or judicial branch of the Federal Governments, transports, or causes to be transported or shipped, a hazardous material or manufactures, fabricates, marks, maintains, reconditions, repairs, or tests a package or container which is represented, marked, certified, or sold by such person as qualified for use in the transportation of a hazardous material shall be subject to and comply with all provisions of the Federal hazardous materials transportation law, all orders and regulations issued thereunder, and all other substantive and procedural re-

# **EXERCISE 3: SUGGESTED TABBING OF THE HMR**

Exemptions, Preemptions, Registration	Subject	Reference	Suggested Tab
Definitions/Abbreviations         171.8         DEF           Hazardous Materials Table         172.101         HMT           Appendix A (Hazardous Substances)         Appendix B         APA           Appendix B (Marine Pollutants)         Appendix B         APB           Special Provisions         172.102         SPPR           Shipping Papers         172.200         SHPAP           Certification         172.204         CERT           Hazardous Waste Manifest         172.205         MANFST           Marking         172.300         MRK           Labeling         172.400         LBL           Placarding         172.500         PLAC           Emergency Response Information         172.600         ERI           Training         172.700         TRNG           Classes & Definitions         173.2         CLASS           Precedence Table         173.2a         CLASS           Waste Packaging Exception         173.12         LAB PK           Packaging-Add'l ReqNon-Bulk         173.24a         NB           Packaging-Add'l ReqNon-Bulk         173.24a         NB           Packaging-Mon-Bulk         Part 173, Subpart E         NB           Packaging Non-Bulk         P	General		
Definitions/Abbreviations         171.8         DEF           Hazardous Materials Table         172.101         HMT           Appendix A (Hazardous Substances)         Appendix B         APA           Appendix B (Marine Pollutants)         Appendix B         APB           Special Provisions         172.102         SPPR           Shipping Papers         172.200         SHP PAP           Certification         172.204         CERT           Hazardous Waste Manifest         172.205         MANFST           Marking         172.300         MRK           Labeling         172.400         LBL           Placarding         172.400         LBL           Placarding         172.600         ERI           Training         172.700         TRNG           Classes & Definitions         173.2         CLASS           Precedence Table         173.2a         CLASS           Precedence Table         173.2a         PRETBL           Waste Packaging Exception         173.12         LAB PK           Packaging-Add'l ReqNon-Bulk         173.24a         BULK           Packaging-Add'l ReqBulk         173.29         MT PKG           Specific Packaging <td< td=""><td>Exemptions, Preemptions, Registration</td><td>Part 107</td><td>107</td></td<>	Exemptions, Preemptions, Registration	Part 107	107
Appendix A (Hazardous Substances)         Appendix B         APB           Appendix B (Marine Pollutants)         Appendix B         APB           Special Provisions         172.102         SPPR           Shipping Papers         172.200         SHPPAP           Certification         172.205         MANFST           Hazardous Waste Manifest         172.300         MRK           Labeling         172.400         LBL           Labeling         172.500         PLAC           Emergency Response Information         172.600         ERI           Training         172.700         TRNG           Classes & Definitions         173.22         CLASS           Precedence Table         173.2a         PRE TBL           Waste Packaging Exception         173.12         LAB PK           Packaging-General Requirements         173.24         GEN PKG           Packaging-Add'l ReqNon-Bulk         173.24a         NB           Packaging-Add'l ReqBulk         173.28         PKG REUSE           Empty packagings         173.29         MT PKG           Specific Packaging           Packaging-Non-Bulk         Part 173, Subpart E         NB           Packaging-Non-Bulk         Part 173, Subpart		171.8	DEF
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Packaging Bulk Part 173, Subpart F BULK  Hazard Class Definitions/Divisions/Packing Groups  Class 1 (Explosives) 173.50 CL 1 Class 2 (Gases) 173.115/116 CL 2 Class 3 (Flammable/Combustible Liquids) 173.120/121 CL 3 Class 4 (Flammable Solid, 173.124/125 Class 5 (Oxidizers, Organic Peroxides) Class 5 (Oxidizers, Organic Peroxides) 173.127/128/129 CL 5 Class 6 (Poisonous Materials/ 173.132/133/134 CL 6 Infectious Substances) Class 7 (Radioactive Materials) 173.403 CL 7 Class 8 (Corrosive Materials) 173.136/137 CL 8 Class 9 (Miscellaneous Hazardous Materials) 173.140/141 CL 9		Part 173 Subpart F	NR
Class 1 (Explosives)  Class 2 (Gases)  Class 3 (Flammable/Combustible Liquids)  Class 4 (Flammable Solid, Spontaneously Combustible, Dangerous When Wet)  Class 5 (Oxidizers, Organic Peroxides)  Class 6 (Poisonous Materials/ Infectious Substances)  Class 7 (Radioactive Materials)  Class 8 (Corrosive Materials)  Class 9 (Miscellaneous Hazardous Materials)  173.120/121  CL3  CL4  T3.124/125  CL4  T3.127/128/129  CL5  Class 6 (Poisonous Materials/ 173.132/133/134  CL6  Class 7 (Radioactive Materials)  173.403  CL7  Class 8 (Corrosive Materials)  173.136/137  CL8  Class 9 (Miscellaneous Hazardous Materials)  173.140/141  CL9		_	
Class 1 (Explosives)  Class 2 (Gases)  Class 3 (Flammable/Combustible Liquids)  Class 4 (Flammable Solid, Spontaneously Combustible, Dangerous When Wet)  Class 5 (Oxidizers, Organic Peroxides)  Class 6 (Poisonous Materials/ Infectious Substances)  Class 7 (Radioactive Materials)  Class 8 (Corrosive Materials)  Class 9 (Miscellaneous Hazardous Materials)  173.120/121  CL3  CL4  T3.124/125  CL4  T3.127/128/129  CL5  Class 6 (Poisonous Materials/ 173.132/133/134  CL6  Class 7 (Radioactive Materials)  173.403  CL7  Class 8 (Corrosive Materials)  173.136/137  CL8  Class 9 (Miscellaneous Hazardous Materials)  173.140/141  CL9	Hazard Class Definitions/Divisions/Pac	king Grouns	
Class 2 (Gases)  Class 3 (Flammable/Combustible Liquids)  Class 4 (Flammable Solid, Spontaneously Combustible, Dangerous When Wet)  Class 5 (Oxidizers, Organic Peroxides)  Class 6 (Poisonous Materials/ Infectious Substances)  Class 7 (Radioactive Materials)  Class 8 (Corrosive Materials)  Class 9 (Miscellaneous Hazardous Materials)  173.115/116  CL2  CL3  CL4  173.124/125  CL5  Class 6 (Poisonous Materials/ Infectious Substances)  CL5  Class 7 (Radioactive Materials)  173.403  CL7  Class 8 (Corrosive Materials)  173.136/137  CL8  Class 9 (Miscellaneous Hazardous Materials)  173.140/141  CL9		g <b>1</b>	CL1
Class 3 (Flammable/Combustible Liquids)  Class 4 (Flammable Solid, Spontaneously Combustible, Dangerous When Wet)  Class 5 (Oxidizers, Organic Peroxides)  Class 6 (Poisonous Materials/ Infectious Substances)  Class 7 (Radioactive Materials)  Class 8 (Corrosive Materials)  Class 9 (Miscellaneous Hazardous Materials)  173.120/121  173.124/125  CL4  CL5  Class 173.127/128/129  CL5  CL7  Class 7 (Radioactive Materials)  CL7  Class 8 (Corrosive Materials)  173.136/137  CL8  Class 9 (Miscellaneous Hazardous Materials)  173.140/141  CL9			
Class 4 (Flammable Solid, Spontaneously Combustible, Dangerous When Wet)  Class 5 (Oxidizers, Organic Peroxides)  Class 6 (Poisonous Materials/Infectious Substances)  Class 7 (Radioactive Materials)  Class 8 (Corrosive Materials)  Class 9 (Miscellaneous Hazardous Materials)  173.124/125  CL4  CL5  CL5  Class 173.132/133/134  CL6  CL7  Class 9 (Miscellaneous Hazardous Materials)  173.136/137  CL8  CL9			
Class 5 (Oxidizers, Organic Peroxides)  Class 6 (Poisonous Materials/ Infectious Substances)  Class 7 (Radioactive Materials)  Class 8 (Corrosive Materials)  Class 9 (Miscellaneous Hazardous Materials)  173.127/128/129  CL5  C13.132/133/134  CL6  CL7  Class 8 (Corrosive Materials)  173.136/137  CL8  Class 9 (Miscellaneous Hazardous Materials)  173.140/141  CL9	Class 4 (Flammable Solid, Spontaneously Combustible,		
Class 6 (Poisonous Materials/ Infectious Substances)  Class 7 (Radioactive Materials)  Class 8 (Corrosive Materials)  173.132/133/134  CL6  Class 9 (Miscellaneous Hazardous Materials)  173.136/137  CL8  Class 9 (Miscellaneous Hazardous Materials)  173.140/141  CL9		173.127/128/129	CL5
Class 7 (Radioactive Materials) 173.403 CL7 Class 8 (Corrosive Materials) 173.136/137 CL8 Class 9 (Miscellaneous Hazardous Materials) 173.140/141 CL9	Class 6 (Poisonous Materials/		
Class 8 (Corrosive Materials) 173.136/137 CL8 Class 9 (Miscellaneous Hazardous Materials) 173.140/141 CL9		173.403	CL7
Class 9 (Miscellaneous Hazardous Materials) 173.140/141 CL9			

# EXERCISE 3: SUGGESTED TABBING OF THE HMR (Continued)

Subject	Reference	Suggested Tab	
Exceptions			
Class 2 (Gases)	173.306/307	CL 2 EXC	
Class 3 (Flammable/Combustible Liquids)	173.150	CL 3 EXC	
Class 4 (Flammable Solids)	173.151	CL 4 EXC	
Class 5 (Oxidizers, Organic Peroxides)	173.152	CL 5 EXC	
Div. 6.1 (Poisonous Materials)	173.153	DIV 6.1 EXC	
Class 8 (Corrosive Materials)	173.154	CL 8 EXC	
Class 9 (Miscellaneous Hazardous Materials)	173.155	CL 9 EXC	
Other Regulated Materials	173.156	ORM-D EXC	
Carrier Requirements			
Rail	PART 174	RAIL	
Aircraft	PART 175	AIR	
Vessel	PART 176	VES	
Highway	PART 177	HWY	
Packagings Specs			
Purpose and Scope	178.1	Scope	
Applicability & Responsibility	178.2	App	
Specs for Inner Receptacles	178.33	Inner	
Specs for Cylinders	178.35	Cyl	
Specs for Portable Tanks	178.245	PT	
Specs for Cargo Tanks			
-MC331	178.337	331	
- MC338	178.338	338	
– DOT406	178.346	406	
– DOT407	178.347	407	
-DOT412	178.348	412	
Pkgs for Class 7	178.350	RAM	
NB Performance Oriented Pkgs.	178.500	POP	
Tests – NB Pkgs.	178.600	NBTest	
Intermediate Bulk Container Stds.	178.700	IBCs	
Testing of IBCs	178.800	IBCTest	

#### **EXERCISE 4: FINDING A SPECIFIC SECTION**

#### A. Locate the definition of a hazard class, for example: Class 1 – Explosives.

- Start by looking in §171.8
- § 171.8 refers you to § 173.50
- Use the reference numbers at the top of the HMR pages to locate "§ 173.50 Class 1 – definitions"
- Read the definition

#### B. Locate a specific reference, such as "\square 172.201(a)(1)(iii)"

- Use the reference numbers at the top of the HMR pages to find the number closest to § 172.201.
- Follow the step-by-step process illustrated below:

§ 172.201

1. Look at the top of the pages in 49 CFR for the bold number (§ 172.201). The first three numbers indicate the Part (172).

#### § 172.201 General Entries

- 2. The title of § 172.201 is "General Entries."
- (a) *Contents.* When a description of hazardous material is required to be included on a shipping paper, that description must conform to the following requirements:
- (1) When a hazardous material and a material not subject to the requirements of this subchapter are described on the same shipping paper, the hazardous material description entries required by §172.202 and those additional entries that may be required by §172.203:
  - (i) Must be entered first, or
- (ii) Must be entered in a color that clearly contrasts with any description on the shipping paper of a material not subject to the requirements of this subchapter, except that a description on a reproduction of a shipping paper may be highlighted, rather than printed, in a contrasting color (the provisions of this paragraph apply only to the basic description required by § 172.202(a) (1) and (2), and (3), or
- (iii) Must be identified by the entry of an "X" placed before the proper shipping name in a column captioned "HM." (The "X" may be replaced by "RQ" if appropriate.)
- (2) The required shipping description on a shipping paper and all copies thereof used for transportation purposes, must be legible and printed (manually or mechanically) in English.

- 3. Paragraph "(a)" the first paragraph under General Entries pertains to contents of the shipping paper. § 172.201(a)
- 4. Subparagraph There are four subparagraphs under paragraph (a). § 172.201(a)(1)-(4)
- 5. Sub-subparagraph § 172.201(a)(1) has three sub-subparagraphs: (i)-(iii).

- 6. Find "§ 172.201(a)(1)(iii)" and complete this sentence: "Must be identified by the entry of an \_\_\_\_\_\_ placed before the proper shipping name . . ."
- 7. "X" is the correct answer.

#### **EXERCISE 5: COMMUNICATING THE HAZARD**

The proper shipping name, hazard class or division, packing group, markings, labels, and placards communicate the hazards of a material. To locate the proper shipping name and transportation requirements of a hazardous material, turn to the Hazardous Materials Table (HMT) in Part 172. The Hazardous Materials Table is the backbone of the regulations.

Use the **table of contents** at the beginning of Part 172 to complete the exercise below. **Fill in the blanks.** 

Subpart	Heading		Section			
A	General		172.1-172.3			
В	Hazardous Materials Table*					
В			172.102			
	Shipping Papers		172.200-172.205			
D			172.300-172.338			
E	Labeling		172.400			
F	Placarding		172.500-172.560			
G	Emergency	Information	172.600-172.604			
APPENDICES  Appendix A, Table 1 and 2 to § 172.101-						
Appendix B to § 172.101–  Appendix C to PART 172 –						

\* includes: Appendix A – Hazardous Substances Appendix B – Marine Pollutants

# FREQUENTLY USED REFERENCES

Part 106	Rulemaking Procedures
Part 107	Hazardous Materials Program Procedures (Exemptions, Preemption, Designation of Approval and Certification Agencies, Enforcement, and Registration)
Part 171	General Information, Regulations, and Definitions
<ul> <li>171.8</li> <li>171.9</li> <li>171.11</li> <li>171.12</li> <li>171.12a</li> <li>171.15/16</li> </ul> Part 172	Definitions and abbreviations Rules of construction Use of ICAO Technical Instructions Import and export shipments Canadian shipments and packagings Hazardous material incidents - notify/report  Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
<ul> <li>172.1</li> <li>172.101</li> <li>172.102</li> <li>172.200</li> <li>172.300</li> <li>172.400</li> <li>172.500</li> <li>172.600</li> <li>172.700</li> </ul>	Purpose and scope Hazardous Materials Table Special provisions Shipping papers – Applicability Marking – Applicability General labeling requirements Placarding – Applicability Emergency response information Training requirements
<b>Part 173</b>	Shippers – General Requirements for Shipments and Packagings
<ul> <li>173.1</li> <li>173.2</li> <li>173.3</li> <li>173.4</li> <li>173.5</li> <li>173.6</li> <li>173.21</li> <li>173.22</li> <li>173.24</li> <li>173.24a</li> <li>173.24b</li> <li>173.25</li> <li>173.27</li> <li>173.28</li> <li>173.29</li> <li>173.30</li> <li>173.301</li> </ul>	Purpose and scope Hazardous materials classes and index to definitions Packaging and exceptions Small quantity exceptions Agricultural operations Materials of trade exceptions Forbidden materials and packages Shipper's responsibility General Requirements for Packagings and Packages Additional requirements for non-bulk packagings and packages Additional requirements for bulk packagings Authorized packages and overpacks General requirements for transportation by aircraft Reuse, reconditioning, and remanufacture of packagings Empty packagings Loading and unloading of transport vehicles General requirements for shipments of compressed gases in cylinders and spherical pressure vessels

#### SPECIFIC HAZARD CLASSES

#### References

•	173.50	Class 1 (Explosives)
•	173.115	Class 2 (Divisions 2.1, 2.2, & 2.3) (Gases)
•	173.120	Class 3 (Flammable liquids/Combustible liquids)
•	173.124	Class 4 (Divisions 4.1, 4.2, and 4.3)
		Division 4.1 (Flammable Solid)
		Division 4.2 (Spontaneously Combustible Material)
		Division 4.3 (Dangerous When Wet)
•	173.127	Class 5, Division 5.1 (Oxidizers)
•	173.128	Class 5, Division 5.2 (Organic Peroxide)
•	173.132	Class 6, Division 6.1 (Poisonous Materials)
•	173.134	Class 6, Division 6.2 (Infectious Substances)
•	173.403	Class 7 (Radioactive Materials)
•	173.136	Class 8 (Corrosive Materials)
•	173.140	Class 9 (Miscellaneous Hazardous Materials)
•	173.144	Other Regulated Materials (ORM)

**Packing groups** are designated in Column 5 of the § 172.101 Table and indicate the degree of danger presented by the material. Packing groups are <u>not</u> assigned to all classes of materials. The shipper is responsible for determining the appropriate packing group.

Packing Group I	PGI	Great Danger
Packing Group II	PGII	Medium Danger
Packing Group III	PG III	Minor Danger

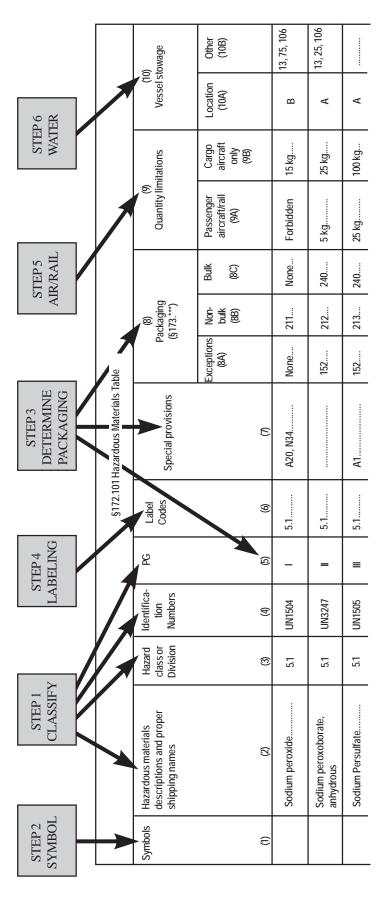
If more than one packing group is indicated for an entry, the packing group for the hazardous material must be determined using the criteria found in Subpart D of Part 173.

**For example: Ketones, liquid, n.o.s.** is listed in the HMT as a PG I, II, and III material. The shipper must determine the packing group for the material by applying the criteria in § 173.121, Class 3 – Assignment of packing group.

Packing Group	Flash Point	Initial Boiling Point
I		≤35°C (95°F)
${ m II}$	<23°C (73°F)	$>35^{\circ}\text{C} (95^{\circ}\text{F})$
III	$\geq$ 23°C, $\leq$ 60.5°C (141°F)	$>35^{\circ}\text{C} (95^{\circ}\text{F})$

< = less than;  $\leq$  = less than, or equal to; > = more than;  $\geq$  = more than, or equal to

Example of HMT, § 172.101



STEP	TASK	HMT COLUMN	STEP	TASK	COLUMN
1	Determine proper shipping name*	2	4	Determine labels	9
	Hazard class or division Identification number	<b>С</b> 4	5	Determine air/rail quantity limits	9A & 9B
	Packing group (PG)	5	9	Determine water requirements	10A & 10B
2	Determine symbol	1	*Note:	*Note: Check the Appendices. The material may also be a hazardous substance (Amendix A) or a marine pollutant (Amendix B)	nazardous
8	Determine Packaging Exceptions Non-Bulk Packaging Bulk Packaging Determine if any special provisions for the material apply	8 8A 8B 8C 7			

#### HAZARDOUS MATERIALS TABLE - SUMMARY

The process of complying (or determining compliance) with the HMR, always centers around the § 172.101 Hazardous Materials Table. **Review part 172, Subpart B,** § 172.101(a)-(k). A very brief description of this process follows:

**Step One:** Identify the material by:

•	Proper Shipping Name	(Col. 2)
•	Hazard Class or Division	(Col. 3)
•	Identification Number	(Col. 4)
•	Packing Group, (if appropriate)	(Col. 5)

REMEMBER, ALWAYS CHECK THE APPENDICES TO THE HMT (172.101)

Appendix A – The hazardous material may also be a **hazardous substance**. Appendix B – The hazardous material may also be a **marine pollutant**.

**Step Two:** Check symbols and determine if restrictions

(+, A, D, G, I or W) apply. (Col. 1)

**Step Three: Determine Packaging** – For the material selected,

determine the authorized packaging. (Col. 8A, 8B, 8C)

**Check Special Provisions** – For the material selected,

determine if any Special Provisions apply. (Col. 7)

**Step Four:** Label the package(s) – For the material selected,

determine the required hazard warning label(s). (Col. 6)

[Note: Marking (§ 172.300) and Placarding (§ 172.500), as required.]

**Step Five:** Check Air or Rail Limitations – For transportation by

air and/or rail, determine packaging limits. (Col. 9A, 9B)

**Step Six:** Check Water Limitations – For transportation by water,

determine vessel shipment requirements. (Col. 10A, 10B)

#### **Additional requirements**

172.204 Shipper's certification
172.301/302 Package Marking
172.402 Additional Labeling
172.504 Placarding

• 172.602/604 Emergency Response Information and Telephone Number

#### HAZARDOUS MATERIALS TABLE – SUMMARY (Continued)

#### **Appendix A: Hazardous Substances**

- 1. The hazardous material is also a hazardous substance when:
  - the material is listed in Appendix A (HMT § 172.101),
  - concentration limits are exceeded,

and

• the amount **in one package**, equals or exceeds the reportable quantity (RQ).

**Note:** Petroleum lubricants and fuel products are not considered hazardous substances.

2. Read the footnotes to Appendix A; the footnotes may affect the proper shipping name selection.

#### **Appendix B: Marine Pollutants**

- 1. The hazardous material is also a marine pollutant when:
  - the material is listed in Appendix B (HMT § 172.101),

and

- when in solution or mixture, the concentration by weight is:
  - 10% for material listed in Appendix B,

or

- 1% for material identified as "severe marine pollutant" in Appendix B.
- 2. Requirements specific to marine pollutants:
  - apply to all Marine Pollutants transported by vessel

and

• do not apply to non-bulk shipments by rail, air, or highway.

# TEST YOUR KNOWLEDGE OF THE HMR

<u>A.</u>	Arc	e You Subject to the HMR?		
			Yes	No
	1.	Do you prepare and offer and/or transport any hazardous material(s) by motor vehicle, rail car, aircraft or vessel?		
	2.	Do you manufacture, repair or recondition containers for the transportation of hazardous materials?		
<u>B.</u>	Do	You Understand the Scope of the HMR?		
			T	$\mathbf{F}$
	1.	Industry standards have been incorporated into the hazardous materials regulations.		
	2.	Some words have different meanings when used in conjunction with the HMR.		
	3.	Air shipments may be transported in accordance with the International Civil Aviation Organization (ICAO) Technical Instructions.		
	4.	Import shipments require certification prior to acceptance by the initial carrier in the US.		
	5.	Shipments can move through the United States under Canadian regulations.		
	6.	Hazardous materials incidents/spills may require immediate and/or written notification to the USDOT.		

#### TEST YOUR KNOWLEDGE OF THE HMR – ANSWERS

#### A. Are You Subject to the HMR?

If you answered **Yes** to question 1 and/or question 2, the Hazardous Materials Regulations apply to you. You must comply with all aspects of the HMR, including training of hazmat employees (see Subpart H, § 172.700.) You may also be required to register with and pay a fee to the USDOT (see Subpart G, § 107.601.)

**Recommendation:** Read §§ 171.1 and 171.2 of the HMR to determine how the regulations apply to you.

#### B. Do You Understand the Scope of the HMR?

#### 1. True

Industry standards have been incorporated by reference and have the force of the law. See § 171.7.

#### 2. True

Some words do have different meanings when used in conjunction with the HMR. Become familiar with the definitions in § 171.8 and use the Glossary on page 18 of this document.

#### 3. True

Air shipments may be transported in accordance with the ICAO Technical Instructions. For more details read § 171.11.

#### 4. True

Import shipments require certification prior to acceptance by the initial carrier in the US. See § 171.12.

#### 5. True

Shipments prepared according to Canada's TDG regulations may enter and/or transit the United States. See § 171.12a.

#### 6. True

Hazardous materials incidents and/or spills meeting the conditions in 171.15 require notification to the USDOT. Please read the details in §§ 171.15 and 171.16.

#### RESOURCES FOR TRAINING AND ASSISTANCE

The Office of Hazardous Materials Initiatives and Training (OHMIT) – The USDOT's Pipeline and Hazardous Materials Safety Administration's (PHMSA) OHMIT is responsible for the development and dissemination of hazmat training, technical assistance, and information to enhance compliance, enforcement uniformity and emergency preparedness.

HAZMAT SAFETY ASSISTANCE TEAM (HMSAT) – The HMSAT was established to
make industry aware of the regulatory requirements, to help businesses find the resources
needed to comply with the HMR, and to provide technical assistance to the emergency
response and planning community. Field staff are located in GA, NJ, TX, IL, and CA.

For more information:

# http://hazmat.dot.gov

Hazardous Materials U.S. Department of Transportation

Information Center Pipeline and Hazardous Materials Safety Administration

Office of Hazardous Materials Initiatives and Training, PHH-50

Washington, DC 20590-0001

(800) 467-4922 E-Mail: Training@dot.gov Fax: (202) 366-7342

(202) 366-4488 Phone: (202) 366-2301

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#### **GLOSSARY**

#### **Acronyms and Common Abbreviations**

AAR Association of American Railroads
API American Petroleum Institute

ASME American Society of Mechanical Engineers
ATA American Trucking Associations, Inc.
ATAA Air Transport Association of America

B of E Bureau of Explosives (AAR)

Btu British Thermal Unit C Celsius or Centigrade

CAER Community Awareness and Emergency Response Program (CMA)

CAS No. Chemical Abstract Service number

CDG Carriage of Dangerous Goods Subcommittee

CERCLA Comprehensive Environmental Response, Compensation,

and Liability Act of 1980 (Superfund)

CERT Council of Energy Resource Tribes

CFR Code of Federal Regulations CGA Compressed Gas Association

CHEMTREC Chemical Transportation Emergency Center (CMA)

CHLOREP The Chlorine Emergency Plan

CHRIS Chemical Hazards Response Information System (USDOT/USCG)

CMA Chemical Manufacturers Association

COE Committee of Experts on the Transportation of Dangerous Goods (UN)

CTDG Canadian Transportation of Dangerous Goods

CVSA Commercial Vehicle Safety Alliance
DEA Drug Enforcement Administration (US)

DOC Department of Commerce (US)
DOD Department of Defense (US)
DOE Department of Energy (US)
DOJ Department of Justice (US)

DOT Department of Transportation (US)
EPA Environmental Protection Agency (US)
FAA Federal Aviation Administration (USDOT)
FEMA Federal Emergency Management Agency

FHSA Federal Hazardous Substance Act

FHWA Federal Highway Administration (USDOT)

FIFRA Federal Insecticide, Fungicide, and Rodenticide Act FMCSR Federal Motor Carrier Safety Regulations (USDOT)

FRA Federal Railroad Administration (USDOT)

HM Hazardous Materials
HAZMAT Hazardous Materials

HMIS Hazardous Materials Information System (USDOT/PHMSA)

#### **Acronyms and Common Abbreviations** (Continued)

HMR Hazardous Materials Regulations

HMT Hazardous Materials Table

HMTA Hazardous Materials Transportation Act

HMTUSA Hazardous Materials Transportation Uniform Safety Act

IAEA International Atomic Energy Agency
IATA International Air Transport Association

IATA/DGR International Air Transport Association, Dangerous Goods Regulations

ICAO International Civil Aviation Organization

ICAO/TI International Civil Aviation Organization/Technical Instructions

IFFA International Federation of Forwarding Agents

ID No. Identification Number IM Intermodal Portable Tank

IME Institute of Makers of Explosives IMO International Maritime Organization

IMO/IMDG International Maritime Dangerous Goods Code INTEREC International Regulations Committee (HMAC)

LEPC Local Emergency Planning Committee

Ltd. Qty. Limited Quantity

MAWP Maximum Allowable Working Pressure

MCSAP Motor Carrier Safety Assistance Program (USDOT/FHWA)

MOU Memorandum of Understanding
MRHT Marked Rated Holding Time
MSDS Material Safety Data Sheet

NA North America

NAERG North American Emergency Response Guidebook

NASTTPO National Association of SARA Title III Program Officers
NCITD National Council on International Trade Documentation
NCCEM National Coordination Council of Emergency Management

NCP National Contingency Plan

NEMA National Emergency Management Association

NESHAP National Emissions Standards for Hazardous Air Pollutants

NFPA National Fire Protection Association

NIOSH National Institute of Occupational Safety and Health

NMFC National Motor Freight Classification

NOAA National Oceanic and Atmospheric Administration

N.O.I. Not Otherwise Indexed

N.O.I.B.N. Not Otherwise Indexed by Name

N.O.S. Not Otherwise Specified

NPGA National Propane Gas Association

NRC National Response Center (USDOT/USCG)

NRT National Response Team

NSWMA National Solid Waste Management Association

#### **Acronyms and Common Abbreviations** (Continued)

NTTC National Tank Truck Carriers

NVOCC Non-Vessel Operating Common Carrier

OHMIT Office of Hazardous Materials Initiatives and Training (USDOT/PHMSA)

OHMS Office of Hazardous Materials Safety (USDOT/PHMSA)

OIC Officer in Charge

OPA Oil Pollution Act (1990)

ORANGE BOOK UN Recommendations on Transport of Dangerous Goods

ORM Other Regulated Materials

OSHA Occupational Safety and Health Administration

OWTT One Way Travel Time PG Packing Group

PHMSA Pipeline and Hazardous Materials Safety Administration

PIH Poison-Inhalation Hazard

PL Public Law

POP Performance-Oriented Packaging

P.s.i. Pounds per square inch

P.s.i.a. Pounds per square inch absolute
P.s.i.g. Pounds per square inch gauge
QT Quenched and Tempered
RAM Radioactive Materials

RCRA Resource Conservation and Recovery Act (1980)
RL Regulated Limit (Canadian equivalent to RQ)

RQ Reportable Quantity

SARA Superfund Amendments and Reauthorization Act of 1986

SERC State Emergency Response Commission

SCF Standard Cubic Foot STC Single Trip Container TC Transport Canada

TDG Transportation of Dangerous Goods (Canada)

TI Transport Index

TIH Toxic Inhalation Hazard

Title III "Emergency Planning and Community Right-to-Know"

section of SARA

TOFC Trailer-On-Flatcar

TSCA Toxic Substance and Control Act

TSI Transportation Safety Institute (USDOT/RITA)

TTMA Truck Trailer Manufacturers Association

UN United Nations

UFC Uniform Freight Classification
UHWM Uniform Hazardous Waste Manifest

USCG United States Coast Guard

VNTSC Volpe National Transportation Systems Center (USDOT/RITA)

#### **Hazardous Materials Transportation Terms**

**NOTE:** See 49 CFR § 171.8 for in-depth definitions

**Bulk Packaging** A packaging (transport vehicle or freight container) in which

hazardous materials are loaded with no intermediate form of containment, when the internal volume is greater than:

(1) 450 liters (119 gallons) for a liquid;

(2) 400 kilograms (882 pounds) net mass for a solid; or

(3) 454 kilograms (1,000 pounds) water capacity for a gas.

Note: A bulk packaging is **not** a vessel or barge.

**Cargo** Product, including its packaging.

**Cargo Tank** A bulk packaging that is loaded or unloaded without being

removed from the motor vehicle. (The tank may or may not be

permanently attached to the motor vehicle).

Chart 12 DOT's Hazardous Materials Marking, Labeling and

Placarding Guide.

**Compatibility** Relates to possible interactions between a material and

(1) its container, or

(2) other products that may be loaded or transported together.

**Compressed Gas** Material or mixture meeting criteria in § 173.115(b), (absolute

pressure of 280 kPa [41 psia]) at 20°C [68° F] or greater).

**Compound** Two or more ingredients that are chemically united.

**Consist** Sequentially lists the location of each rail car in a train. May

serve as the shipping paper if the consist has all the information

required by the USDOT.

**Dangerous Goods** International term for hazardous materials.

**Documentation** Completed forms required to accompany hazardous materials.

For example, shipping papers, certificates, emergency response

information, or manifests.

**Emergency Response** 

Information

Information that can be used in the mitigation of an incident

involving hazardous materials.

**Etiologic Agent** See Infectious Substance.

**Exceptions** Relief from certain HM regulations; applies to everyone.

**Exemptions** Specific USDOT-written relief from certain HM Regulations, for

shippers, carriers, or manufacturers; 2 year limit but may be

renewed. (Part 107, Subpart B of 49 CFR)

**Flash Point** The minimum temperature at which a substance gives off

flammable vapor(s). Substance will ignite when coming in

contact with a spark or flame.

**Forbidden** A material that is prohibited from being offered or accepted

for transportation. This prohibition does not apply if these

materials are:

• diluted, stabilized, or incorporated into devices

ano

• classed in accordance with Part 173. (See § 172.101(d)(1)).

**Generator** An EPA term used for a hazardous waste producer and/or

shipper.

**Grants** Planning and training grants to deal with hazardous materials

emergencies.

**Gross Weight** Total weight of packaging, including its contents.

**Hazard Class** A group of hazardous materials that share dangerous

characteristics. The USDOT has identified nine hazard classes

based on the dangers posed in transportation.

**Hazard Division** A means of sub-dividing similar hazardous materials which

require different hazard communications.

**Hazardous Material** A substance or material capable of posing an unreasonable risk to

health, safety, or property when transported in commerce.

**Hazardous Substance** A material listed in Appendix A to § 172.101 and the quantity in

one package equals or exceeds the reportable quantity (RQ). Material may be in solution or mixture. This definition does not

apply to petroleum (lubricants or fuel) products.

Note: For radionuclides, refer to Table 2 of Appendix A to

*the HMT.* 

**Hazardous Waste** Any material that is subject to the Hazardous Waste Manifest

requirements of the EPA. Refer to 40 CFR Part 262.

**Hazardous Waste** 

Manifest

A specific shipping document required by the USDOT

and the EPA for hazardous waste shipments. Also referred to as

the Uniform Hazardous Waste Manifest (UHWM).

If all USDOT requirements [i.e., the basic description (proper shipping name, hazard class/division, ID No., and packing group)

are entered on the UHWM, the manifest may be used as a

shipping paper. (49 CFR § 172.205)

**Identification Number** 

(ID No.)

The UN or NA "four-digit number" assigned to hazardous materials, i.e., UN 1203. ID numbers are listed in Col. 4 of the HMT. Used for identification and emergency response.

In-association-with

Refers to the placement of required additional entries on the shipping paper. Usually placed after the complete description for a hazardous material. May be any format, as long as it is clearly part of the entry.

Incident

Unintentional release of hazardous material(s).

Infectious Substance

Living microorganism or its toxin which may cause severe, disabling or fatal disease. Term synonymous with Etiologic Agent. (49 CFR, § 173.134)

**Irritating Material** 

A liquid or solid substance. Upon contact with fire or air, the material gives off dangerous or intensely irritating fumes. **Irritating Material does not include any poisonous material.** 

Labels

Hazard class identifiers required on hazardous materials packaging; 100 mm diamond shaped (square-on-point); identify hazard class by symbol, color and sometimes, by name.

Limited Quantity (Ltd. Qty.)

The amount of material for which there is a specific labeling or packaging exception.

Marine Pollutant

Hazardous material which is:

- listed in **Appendix B** to § 172.101 and,
- when in a solution or mixture of one or more marine pollutants, is packaged in a concentration (*for materials listed in Appendix B*) which equals or exceeds:
  - (1) 10% by weight of the solution or mixture, or
  - (2) 1% by weight of the solution or mixture for materials that are identified as severe marine pollutants.

**Markings** 

Information required to be placed on the outside of the shipping container; may include one or more of the following:

- proper shipping name;
- identification number;
- UN standard packaging marks;
- instructions/caution.

**Mitigate** 

*To make less severe.* Measures to prevent, or lessen the results of a release of hazardous materials.

Mixture

A material composed of one or more compounds.

Motor Vehicle Common Carrier A motor carrier that transports property for hire.

Motor Vehicle Contract Carrier

A motor carrier that transports only property for those shippers with whom they have a contractual agreement.

Motor Vehicle Private Carrier A motor carrier that transports property of which it is owner, lessee, or bailee. Such transportation is for the purpose of sale,

lease, or rent.

**Multiple Hazards** A material meeting the definition of more than one hazard class/

division. The material must be classed according to its

position on the Precedence of Hazard Table in 49 CFR, § 173.2a.

**Net Weight** A measure of weight referring only to the contents of a package.

It does not include the weight of any packaging material.

**Non-Bulk Packaging** A packaging which has an internal volume equal to or less than:

(1) 450 liters (119 gallons) for a liquid; or

(2) 400 kilograms (882 pounds) net mass for a solid; or (3) 454 kilograms (1,000 pounds) water capacity for a gas.

Overpack An enclosure that is used by a single consignor to provide

protection or convenience in the handling of a package. It may

consolidate two or more packages.

Package Packaging plus its contents.

**Packaging** A receptacle and any other components or materials used to

provide containment. The packaging must perform its containment function in conformance with the HMR.

**Provides** general relief from certain specification packaging

requirements of the HMR.

**Packaging Exemption** Specific written administrative relief granted by PHMSA from

certain requirements of the HMR. Packaging must provide

equivalent levels of safety.

**Packing Group** Assigned based on the degree of danger presented by the

hazardous material:

PG I – Great Danger PG II – Medium Danger PG III – Minor Danger

**Performance-Oriented** 

**Packaging** 

Container and any other components or materials necessary for the packaging to perform its containment function. Specific

testing procedures must be performed and so marked on the

packaging.

Person Any of the following:

- Individual
- Firm
- Co-Partner
- Corporation
- Company
- Association
- Joint-stock association (including any trustee, receiver, assignee, or similar representative thereof), or
- Government or Indian tribe, (agency or instrumentality of any government or Indian Tribe).

**Placard** Hazard class identifiers required on transport vehicles or

freight containers; placards are 273 mm (10.8 inches) diamond shaped (square-on-point) and hazard class color-coded. May require numbers for identification and emergency response.

(Part 172, Subpart F)

**Placarding** Process of determining and applying correct placards.

(Part 172, Subpart F)

Portable Tank A bulk packaging designed to be loaded on or temporarily

attached to a transport vehicle or vessel.

**Preemption** State or local requirements that conflict with the Federal

> hazardous materials transportation law may be set aside by the Federal Government. (See Subpart C – Preemption, § 107.201.)

**Primary Label** Label for primary hazard of the material. Class number in lower

part of label is required. (49 CFR § 172.402)

Name listed in Roman type in the HMT, § 172.101. *Italicized* **Proper Shipping Name** 

names are not proper shipping names.

Radioactive Materials Materials having a specific activity of greater than 0.002

microcuries per gram. (49 CFR § 173.403)

Registration A person who transports or offers for transportation certain

hazardous materials is required to register and pay a fee to the

USDOT. (See § 107.601.)

**Registration for** 

Procedure for persons who manufacture, assemble, inspect, Cargo Tanks test, certify or repair a cargo tank or cargo tank motor vehicle.

(See § 107.501.)

**Reportable Quantity** 

(RQ)

RQ means the quantity specified in Column 2 of Appendix A to § 172.101 for any material identified in Column 1 of the appendix.

**Residue** The hazardous materials remaining in a packaging, tank car, etc.

after unloading.

**Shipping Papers** Manifest, bill of lading, shipping order, or document to

accompany hazardous materials shipments. Must contain information required by USDOT. (See Part 172, Subpart C.)

**Solution** Homogenous liquid mixture of two (2) or more chemical

compounds. The mixture will not separate during transportation.

**Specification Packaging** Packaging specifically designed for a particular class or classes of

hazardous material. Packaging identified by UN standard

packaging and/or USDOT specification number.

**State Variations** Listed in the ICAO Technical Instructions; governmental options

differing from the ICAO Technical Instructions.

Strong Outside Container Outermost enclosure for protecting inner packages and

preventing unintentional release of contents during

transportation.

Subsidiary Labels/Placards Identify the subsidiary or secondary hazard(s). Class number must

not be shown on the label/placard. (See §§ 172.402, and

172.519(b)(4))

**Technical Name** A recognized chemical name currently used in scientific and

technical handbooks, journals and texts. Trade names may not be

used as technical names, unless they are in the HMT.

**Transport Index** The dimensionless number on the label of a radioactive materials

package. Designates the degree of control necessary during

transportation.

**Unit Load Device** Any type of freight container, aircraft container, aircraft pallet

with a net, or aircraft pallet with a net over an igloo.

# Notes

# Notes

#### For information about other

# **Hazmat Publications**

Visit our web site:

http://hazmat.dot.gov

#### Or write:

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